money management

Financial Planner Awards 2018

Tax Efficient Investing planner of the year

First prize: £500
Runner up: £200

Candidates are required to choose an example of existing client work that relates to one of our 2018 categories, and submit this **(with names and personally identifiable information redacted)** as their entry. Along with their entry, candidates will be allowed to provide up to 300 words to describe how their work fits the criteria we have outlined for each category.

Each submission will be marked against the international financial planning standards set by the Financial Planning Standards Board. Those standards allow some flexibility for varying answers and styles; there is no set correct answer to the questions & case studies because in the financial planning process each planner will approach the same problem differently.

Up to five candidates will be shortlisted in each category. Shortlisted candidates will then be asked to attend face to face interviews at the head office of the Financial Times in London.

Interview dates: 4th or 13th September 2018

Guidelines for entry:

- Explain how the structure of all the client's assets works best, including how tax implications work together to achieve the objectives
- Describe and demonstrate how the recommended asset allocation meets the client's income or capital needs
- State clearly the client's objectives – they should be quantified and qualified with time horizons, etc
- State all assumptions made and reasons why specific numbers were used
- Options considered by way of possible solutions for the client and reasons why they were not selected
- Explain how any investment analysis meets the FCA required attitude to risk capacity for loss and need
- Demonstrate how the final recommendation clearly meets the client's stated objectives
- State any general planning things you want to make clear to the client that might not be directly related to the specific advice they sought.

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Financial Planner Awards 2018

Entry form

Please tick the category/ies that you have entered:

- ☐ Overall financial planner of the year*
- ☐ Investment planner of the year
- ☐ Investment Companies planner of the year
- ☐ Equity Release planner of the year
- ☐ Multi-Asset planner of the year
- ☐ IHT planner of the year
- ☐ At-retirement planner of the year
- ☐ Mortgage planner of the year
- ☐ Tax-efficient investing planner of the year
- ☐ ETF planner of the year
- ☐ Sustainable ethical planner of the year

*To be eligible for the overall financial planner award, please enter at least 3 categories

Name:

Job title:

Company:

Address:

Postcode:

Email:

Phone:

Please state the year you qualified:

How many years have you been working in the industry?

How to enter:

By email (preferable) : MMAwards@ft.com Email entries must be accompanied by a completed entry form.

By post: Send your completed entry form to MM Awards, Money Management Magazine, One Southwark Bridge, London SE1 9HL

Overall award sponsor:

Schroders

All entrants will be sent an acknowledgement of receipt letter once their application has been received.

All entries, once submitted, will be the property of Money Management magazine and will be treated in strictest confidence.

Rules:

The Money Management Financial Planner Awards are open to all financial advisers, including IFAs, restricted advisers and paraplanners. You may enter as many categories as you wish, or just the one/s that most closely reflect your own area/s of specialism.

- Candidates are required to choose an example of existing client work that relates to the category they are entering, and submit this (with names, companies and personally identifiable information redacted) as their entry. Along with this, candidates will be allowed to provide up to 300 words to explain how their work fits the criteria we have outlined for each category.
- Candidates can submit the same piece of work for multiple categories if appropriate. However we would expect a unique 300 word explanation for each category entered.
- Deadline for entry submission is 6th July.
- Up to five candidates will be shortlisted in each category. Shortlisted candidates will then be asked to attend face to face interviews at the head office of the Financial Times in London in September. Prior to these interviews, candidates will be sent a short case study. The interviews will revolve around questions based on the case study, but no prior written work will be required.
- All shortlisted candidates will receive an invitation to the awards (Thursday 18th October, held at OXO2) and will be provided with a logo to show they were a shortlisted candidate, which can then be used on company websites, letterhead, email signatures, CVs and other items as appropriate.
- To be eligible for the Overall Financial Planner of the Year Award, candidates must present at least three individual category entries. If you enter more than three, your three highest scoring entries will go forward for consideration for the overall award.
- To be eligible for the Rising Star award entrants must enter one of the categories, as well as stating when they qualified for their role and how many years they have been working in the industry.
- Your entry must be accompanied by an entry form. Photocopies of the entry form are perfectly acceptable.
- Each category entered should start on a separate sheet of paper and clearly state the category name.
- The judges' decision is final and no correspondence will be entered into.
- Your entry must be entirely your own work.
- **It is crucial that no personal information other than your own is included in any submission. Due to data protection regulations, we cannot accept entries that have not had personal data completely redacted.**